

## IMPORTANT INFORMATION FOR OPENING YOUR ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number and other information or documents that will allow us to identify you. This information will be subject to verification.

By signing and submitting this application, you give the DF Dent Growth Funds (the "Funds") and its agents permission to collect information about you from third parties, including information available in public and private databases such as consumer reports from credit reporting agencies, which will be used to help verify your identity.

If you do not provide the information, we may not be able to open your account. If we open your account but are unable to verify your identity, we reserve the right to take such other steps as we deem reasonable, including closing your account and redeeming your investment at the net asset value next calculated after the Funds decide to close your account. Please see the Funds' Statement of Additional Information for further information.

## 1. YOUR INITIAL INVESTMENT

### Select One or More Fund:

DF Dent Premier Growth Fund

Investor Class \$ \_\_\_\_\_ (\$2,500 minimum)

DF Dent Midcap Growth Fund

Investor Class \$ \_\_\_\_\_ (\$2,500 minimum)  Institutional Class \$ \_\_\_\_\_ (\$500,000 minimum)

DF Dent Small Cap Growth Fund

Investor Class \$ \_\_\_\_\_ (\$2,500 minimum)  Institutional Class \$ \_\_\_\_\_ (\$500,000 minimum)

### Choose the Source of Funds:

- Check: I have enclosed a check in the amount of \$ \_\_\_\_\_ (make check payable to "DF Dent Growth Funds").
- Wire: My wire will be in the amount of \$ \_\_\_\_\_ (call (866) 2DF-DENT or (866) 233-3368 for wire instructions).
- ACH: Please deduct \$ \_\_\_\_\_ from my bank account (you must complete Section 5 / maximum amount is \$25,000).
- Transfer (You are transferring assets directly from your IRA at another institution). **You must also complete the IRA Asset Transfer Form.**

### Choose Characterization of Contribution (skip this section if performing a transfer):

- Prior Year Contribution \$ \_\_\_\_\_ (must be postmarked by the IRS tax filing deadline).
- Current Year Contribution \$ \_\_\_\_\_
- Qualified Plan Rollover (you are contributing assets distributed to you from a qualified retirement plan or from another IRA).

### Payment of Annual \$15.00 Custodial Fee:

- I have enclosed \$15.00 for the Annual Custodial Fee (make check payable to "DF Dent Growth Funds").
- Please deduct the \$15.00 Annual Custodial Fee directly from my IRA.

All investments must be made by check, ACH or wire. All checks must be payable in U.S. dollars and drawn on U.S. financial institutions. The initial investment for the Institutional Class must be made by check, wire, transfer or rollover. The Funds do not accept purchases made by credit card check, starter check, cash or cash equivalents (for instance, you may not pay by money order, cashier's check, bank draft or traveler's check).



## 6. SYSTEMATIC INVESTMENT PLAN (Optional)

Systematic Investment Plan - You must complete Section 5. Amount must not exceed \$25,000 per day.

Frequency:     Monthly                       Semi-Monthly                       Quarterly                       Annual

DF Dent Premier Growth Fund    \$ \_\_\_\_\_    Start on \_\_\_\_\_ month and begin on \_\_\_\_\_ day.

DF Dent Midcap Growth Fund    \$ \_\_\_\_\_    Start on \_\_\_\_\_ month and begin on \_\_\_\_\_ day.

DF Dent Small Cap Growth Fund    \$ \_\_\_\_\_    Start on \_\_\_\_\_ month and begin on \_\_\_\_\_ day.

*Please note: If the day chosen falls on a weekend or holiday, your investment will occur on the next business day. This privilege will be effective 3 business days after the Fund receives this application.*

## 7. BENEFICIARY DESIGNATION (Attach Additional Sheets If Necessary)

I hereby designate the following Primary Beneficiary(ies) to receive my interest in this IRA in case of my death (you may name one or more persons as your primary beneficiary). Unless otherwise designated, beneficiaries will share equally.

**Primary Beneficiaries:**

Beneficiary Name	Birth Date	Social Security Number	
Physical Street Address	City	State	ZIP Code
Relationship	Percentage	<input type="checkbox"/> Add with Per Stirpes designation	

Beneficiary Name	Birth Date	Social Security Number	
Physical Street Address	City	State	ZIP Code
Relationship	Percentage	<input type="checkbox"/> Add with Per Stirpes designation	

If none of the above Primary Beneficiaries are living on the date of my death, I hereby designate the following Secondary Beneficiary(ies) to receive my interest in this IRA in case of my death.

**Contingent Beneficiaries:**

Beneficiary Name	Birth Date	Social Security Number	
Physical Street Address	City	State	ZIP Code
Relationship	Percentage	<input type="checkbox"/> Add with Per Stirpes designation	

Beneficiary Name	Birth Date	Social Security Number	
Physical Street Address	City	State	ZIP Code
Relationship	Percentage	<input type="checkbox"/> Add with Per Stirpes designation	

*Please note: Shareholders are advised to check the requirements of state law concerning spousal beneficiary rights. Beneficiaries may be changed at any time by completing a change of beneficiary form.*

## 8. DUPLICATE STATEMENT MAILING ADDRESS (Optional)

Name

Mailing Address

City

State

ZIP

## 9. SIGNATURE AND TAX CERTIFICATIONS

The Depositor acknowledges having received, read and agrees to be bound by the terms, as may be amended from time to time, of the Fund's Traditional and Roth IRA Plan Agreement & Disclosure and the relevant Fund Prospectus. Under penalties of perjury, the Depositor certifies that the Social Security Number on this form is true, correct and complete and that I am a U.S. person (including a U.S. resident alien). I understand that the Custodian will deduct from my account or collect separately an Annual Custodial Fee of \$15.00 for each account.

By my signature below, I certify that:

- (1) I am not involved in any money laundering schemes and the source of this investment is not derived from any unlawful activity;  
and
- (2) The information provided by me in this application is true and correct and any documents provided herewith are genuine.

Signature

Date

## 10. MAILING INSTRUCTIONS AND CONTACT INFORMATION

Please send the completed application to:

**Regular Mail To:**

DF Dent Growth Funds  
P.O. Box 588  
Portland, ME 04112

**Overnight Express Mail To:**

DF Dent Growth Funds  
c/o Apex Fund Services  
Three Canal Plaza, Ground Floor  
Portland, ME 04101

If you have any questions, please call (866) 2DF-DENT or (866) 233-3368 (toll-free)