



D.F. Dent and Company, Inc. Privacy Notice

D.F. Dent and Company (“D.F. Dent”) is committed to client confidentiality and the protection of your privacy. The following information is provided, as required by law, to help you understand our privacy policy and how we will handle and maintain non-public personal information in order to fulfill our obligations to maintain your privacy. Non-public personal information refers to any personally identifiable financial information that D.F. Dent obtains in connection with carrying out our services.

Information We Collect

D.F. Dent collects personal information as part of our relationship with you in order to provide client service and fulfill legal obligations and regulatory requirements. The type of information D.F. Dent collects may include:

- Information D.F. Dent receives from you on custodial or other types of forms, applications, contracts, or brokerage statements you authorize us to receive.
- Information you provide directly to D.F. Dent about your personal finances or personal circumstances in order for D.F. Dent to provide advisory services.
- Information provided by third parties you granted authorization for us to receive.

Information Disclosed in Administering Products and Services

D.F. Dent will not disclose personal information about current or former clients to anyone except as permitted or required by law, at the direction of a client, or as necessary to provide D.F. Dent’s services. Third parties such as service providers may have access to your information to assist D.F. Dent in providing services to D.F. Dent clients. D.F. Dent does not sell any personal information about you to any third-party.

Procedures to Protect Confidentiality and Security of Your Personal Information

D.F. Dent has procedures in place that limit access to personal information to those employees who need to know such information to perform business services. In addition, D.F. Dent maintains physical, electronic, and procedural safeguards to protect your non-public personal information.

D.F. Dent will update its policies and procedures when necessary to ensure that your privacy is maintained, and that D.F. Dent conducts business in a way that fulfills our commitment to you. If D.F. Dent makes any material changes to our privacy policy, we will make that information available to clients through our website or other communications.

D.F. Dent maintains a written incident management and response program and will notify affected clients of unauthorized access to sensitive client information in accordance with federal regulations.